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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Application Number | | 10584507 | |
| | Filing Date | | 2006-06-23 | |
| | First Named Inventor | Giulia PIETRA, et al. | | |
| | Art Unit | 2825 | | |
| | Examiner Name | | | |
| Attorney Docket Number | | 05788.0399 | | |

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| 1 | WANG et al.; "Multilayer waveguide-grating filters", <i>Applied Optics</i> , Vol. 34, No. 14, pp. 2414-2420, (1995). | <input type="checkbox"/> |
| 2 | JACOB et al.; "Design considerations for narrow-band dielectric resonant grating reflection filters of finite length", <i>Journal of Optical Society of America</i> , Vol. 17, No. 7, pp. 1241-1249, (2000). | <input type="checkbox"/> |
| 3 | ROSENBLATT et al.; "Resonant Grating Waveguide Structures", <i>IEEE Journal of Quantum Electronics</i> , Vol. 33, No. 11, pp. 2038-2059, (1997). | <input type="checkbox"/> |
| 4 | LEVY-YURISTA et al.; "Very narrow spectral filters with multilayered grating-waveguide structures", <i>Applied Physics Letters</i> Vol. 77, No. 11, pp. 1596-1598, (2000). | <input type="checkbox"/> |
| 5 | DUDOVICH et al.; "Active Semiconductor-Based Grating Waveguide Structures", <i>IEEE Journal of Quantum Electronics</i> , Vol. 37, No. 8, pp.1030-1039, (2001). | <input type="checkbox"/> |
| 6 | TAN et al.; "A Tunable Subwavelength Resonant Grating Optical Filter", <i>LEOS 2002, ThV2, IEEE</i> , 14:15-14:30, pp. 825-826. (2002). | <input type="checkbox"/> |

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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

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- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

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| Signature | /Ernest F. Chapman/ | Date (YYYY-MM-DD) | 2007-03-28 |
| Name/Print | Ernest F. Chapman | Registration Number | 25,961 |

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